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**FAXED**  
12-13-01

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**FACSIMILE TRANSMITTAL FORM**

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<b>To:</b> Diana Johannsen	<b>Fax Number:</b> 703-746-5064	<b>Phone Number:</b> 703-305-0761
<b>From:</b> Paul Veravanich	<b>Fax Number:</b> 949-567-6600	<b>Phone Number:</b> 949-567-2300
<b>Re:</b> RCE in response to 6/20/01 office action	<b>Date/Time sent:</b> 12/13/01 10:02 AM	<b>No. of Pages:</b> 5 (incl. cover)
<b>Client Name:</b> Dr. Paddy Jim Baggot	<b>Client Matter No.:</b> Application No. 09/499,006 Docket No. 249/127	

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If you do not receive all of the pages, please call Adriana Mojarro at (949) 567-2300, extension 1104.

**Notes/Comments:**

Diana -

Attached is the remainder of what we filed on November 9, 2001. Please feel free to contact me if you have any further questions or concerns.

Sincerely,

Paul Veravanich

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**TO BE COMPLETED BY FAX OPERATOR**

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TIME TRANSMITTED: \_\_\_\_\_ TRANSMITTED BY: \_\_\_\_\_

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**REQUEST  
FOR  
CONTINUED EXAMINATION (RCE)  
TRANSMITTAL**

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995.

See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/499,006
Filing Date	February 4, 2000
First Named Inventor	Dr. Paddy Jim Baggot
Group Art Unit	1655
Examiner Name	D. Johannsen
Attorney Docket Number	249/127

This is a Request for continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

**NOTE:** 37 C.F.R. § 1.114 is effective May 29, 2000. If the above-identified was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patented term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. **Submission required under 37 C.F.R. § 1.114**

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on  
Any unentered amendment(s) referred to above will be entered.
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declarations(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other \_\_\_\_\_

2. **Miscellaneous**


- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other \_\_\_\_\_

3. **FEES**

The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

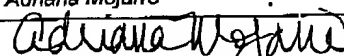
- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 12-2475
- i. ☒ RCE fee required under 37 C.F.R. § 1.17(e)
- ii. ☒ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17); if any extension of time is required, please consider this a request therefore.
- iii. ☐ Other: Any fee under \$1.15 or
- b. ☒ Check in the amount of \$ 570.00 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print/Type)	Polaphat Veravanich	Registration No. (Attorney/Agent)	45,179
Signature		Date	November 9, 2001

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:

Name (Print/Type)	Adriana Mojarró	Date	November 9, 2001
Signature			

PTO/SB/17 (10-01)

Appr. use through 10/31/2002. OMB 0851-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT (\$)** 570**Complete If Known**

Application Number	09/499,006
Filing Date	February 4, 2000
First Named Inventor	Dr. Paddy Jim Baggot
Examiner Name	D. Johannsen
Group / Art Unit	1655
Attorney Docket No.	249/127

**METHOD OF PAYMENT (check one)**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

12-2475

Deposit  
Account  
Name

Lyon &amp; Lyon

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status.  
See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check    ☐ Credit card    ☐ Money Order    ☐ Other
**FEE CALCULATION**

## 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

**SUBTOTAL (1)**

(\$) 0

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
10	-20 **	0	0
3	-3 **	0	0
Multiple Dependent			0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

**SUBTOTAL (2)**

(\$) 0

**FEE CALCULATION (continued)**

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	200
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,980	228	990	Extension for reply within fifth month	
119	320	219	180	Notice of Appeal	
120	320	220	180	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to Institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	480	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
125	180	125	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
145	740	245	370	Filing a submission after final rejection (37 CFR § 1.123(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	370
169	900	169	900	Request for expedited examination of a design application	

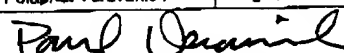
Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

(\$) 570

**SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)	Polaphat Veravanich	Registration No. Attorney/Agent	45,179	Telephone	(949) 587-2300
Signature				Date	November 9, 2001

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**LYON & LYON LLP****A LIMITED LIABILITY PARTNERSHIP  
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Irvine, CA 92614UNION BANK OF CALIFORNIA  
LOS ANGELES, CA 9007116-49  
1220

No. 3599

DOCKET NO. 249/127  
SERIAL NO. 09/499,006  
APPLICANT Dr. Paddy Jim Baggot**PAY**

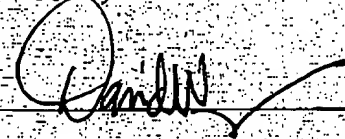
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DATE Nov. 9, 2001

\$ 570.00

TO THE  
ORDER  
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**LYON & LYON LLP**  
IRVINE, CALIFORNIA**REMITTANCE ADVICE**  
PLEASE DETACH BEFORE  
DEPOSITING CHECK

TO COST OF

RCE Fee &amp; Two-month Extension Fee

Please acknowledge receipt of the following by affixing hereon the Patent and Trademark Office date stamp and returning this card to our office.

Applicant: Dr. Paddy Jim Baggot  
Serial No.: 09/499,006  
Filed: February 4, 2000  
For: TREATMENT OF CHROMOSOMAL ABNORMALITIES IN  
FETUSES THROUGH A COMPREHENSIVE METABOLIC  
ANALYSIS OF AMNIOTIC FLUID

Attorney(s): Polaphat Veravanich  
Docket No.: 249/127  
Date of Deposit: November 9, 2001  
Enclosure(s): Fee Transmittal; RCE Transmittal; Amendment; Check No. 3599  
in the amount of \$570.00; Return Postcard

**First Class Mail**